

# keV scale sterile neutrino as Dark Matter or the simplest way to explain everything

F. Bezrukov

MPI für Kernphysik, Heidelberg, Germany

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# Outline

- 1 Introduction
- 2 The model -  $\nu$ MSM
- 3 Astrophysical/Cosmological bounds
  - Lifetime
  - X-ray bounds
  - DM generation mechanisms
  - Structure formation
  - Constraints on heavier sterile neutrinos  $N_{2,3}$
- 4 Predictions and laboratory searches
  - Lightest active neutrino mass
  - keV sterile neutrino:  $0\nu\beta\beta$  decay
  - Other laboratory searches



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# Standard Model - goods

## Particle content

Gauge fields ( $SU(3)_c \times SU(2)_W \times U(1)_Y$ ):  $\gamma, W^\pm, Z, g$

Higgs doublet  $(1, 2, 1)$

	$SU(3)_c$	$SU(2)_W$	$U(1)_Y$	$U(1)_{em}$		
Matter:	$\begin{pmatrix} u \\ d \end{pmatrix}_L$	3	2	+1/3	$\begin{pmatrix} +2/3 \\ -1/3 \end{pmatrix}$	× 3 generations
	$u_R$	3	1	+4/3	+2/3	
	$d_R$	3	1	-2/3	-1/3	
	$\begin{pmatrix} \nu_e \\ e \end{pmatrix}_L$	1	2	-1	$\begin{pmatrix} 0 \\ -1 \end{pmatrix}$	
	$e_R$	1	1	-2	-1	

Describes (except for very few things)

- all laboratory experiments - electromagnetism, nuclear processes, etc.
- all processes in the evolution of the Universe after the Big Bang Nucleosynthesis ( $T < 1 \text{ MeV}, t > 1 \text{ sec}$ )



# Standard Model - problems (experimental!)

- Neutrino oscillations
- Baryon asymmetry of the Universe
- Dark Matter
- Dark Energy
- Inflation

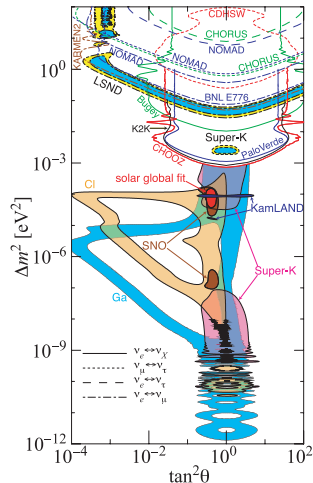


# Standard Model - problems (experimental!)

- Neutrino oscillations

$\Delta m_{21}^2$	$8.2^{+0.6}_{-0.5} \times 10^{-5} \text{ eV}^2$
$\theta_{12}$	$32.3^{\circ+2.7}_{-2.4}$
$ \Delta m_{32}^2 $	$2.0^{+0.6}_{-0.4} \times 10^{-3} \text{ eV}^2$
$\sin^2 2\theta_{23}$	$> 0.94$
$\sin^2 2\theta_{13}$	$< 0.11$

- Baryon asymmetry of the Universe
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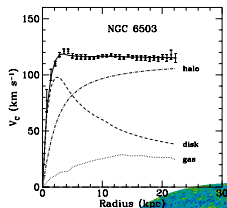


<http://hitoshi.berkeley.edu/neutrino>

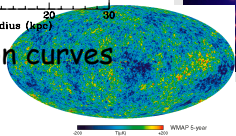


# Standard Model - problems (experimental!)

- Neutrino oscillations
- Baryon asymmetry of the Universe
- Dark Matter



Rotation curves



CMB fluctuations

## Gravitational lensing



"Bullet" cluster

- Dark Energy
- Inflation



# Standard Model - problems solution

Can be explained with sterile (right-handed) neutrinos

- Neutrino oscillations
- Baryon asymmetry of the Universe
- Dark Matter

Asaka, Shaposhnikov, 05

- Dark Energy - hard to explain naturally, but can be present provided for extreme finetuning
- Inflation - can be explained by non-minimally coupling of the Higgs with gravity [FB, Shaposhnikov'08](#)





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	$e_R$	1	1	-2	-1	
	<b>N</b>	<b>1</b>	<b>1</b>	<b>0</b>	<b>0</b>	

Lepton sector is similar to the quark sector (with addition of the Majorana masses for N)



# $\nu$ MSM Lagrangian

- Lorentz invariant
- Renormalizable

## Lagrangian

$$\mathcal{L}_{\nu\text{MSM}} = \mathcal{L}_{\text{MSM}} + \bar{N}_I i \not{\partial} N_I - f_{I\alpha} H \bar{N}_I L_\alpha - \frac{M_I}{2} \bar{N}_I^c N_I + \text{h.c.}$$

- Dirac masses:  $M_{I\alpha}^D = f_{I\alpha} \langle H \rangle$
- Majorana masses:  $M_I$

Asaka, Blanchet, Shaposhnikov, 2005 Asaka, Shaposhnikov, 2005



## $\nu$ masses and mixings

$M_I \gg M^D$  - "seesaw" mechanism is working

Diagonalising mass matrix

$$\begin{pmatrix} 0 & M_D \\ M_D^T & M_I \end{pmatrix} \Rightarrow \begin{pmatrix} -(M^D)^T \frac{1}{M_I} M^D & 0 \\ 0 & M_I \end{pmatrix}$$

3 heavy neutrinos with masses  $M_I$

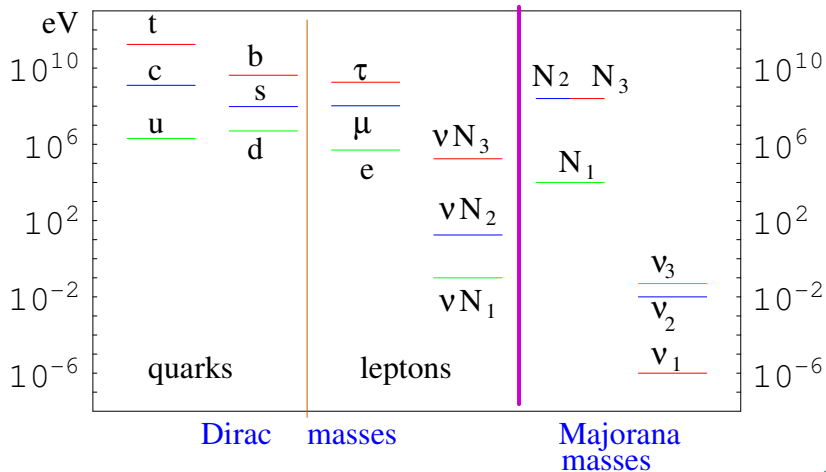
Light neutrino masses  $M^\nu = -(M^D)^T \frac{1}{M_I} M^D$

$$U^T M^\nu U = \text{diag}(m_1, m_2, m_3)$$

Mixings: flavor state  $\nu_\alpha = U_{\alpha i} \nu_i + \theta_{\alpha I} N_I^c$

Active-sterile mixings  $\theta_{\alpha I} = \frac{(M^D)_{\alpha I}^\dagger}{M_I} \ll 1$

# The spectrum of $\nu$ MSM



# Outline

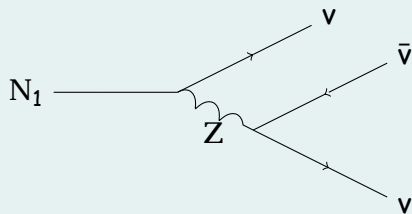
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# Lifetime

To be a viable Dark Matter  $N_1$  should live longer, than the age of the Universe

Main decay channel:  $N_1 \rightarrow \bar{\nu}\nu\nu$ ,  $N_1 \rightarrow \bar{\nu}\bar{\nu}$



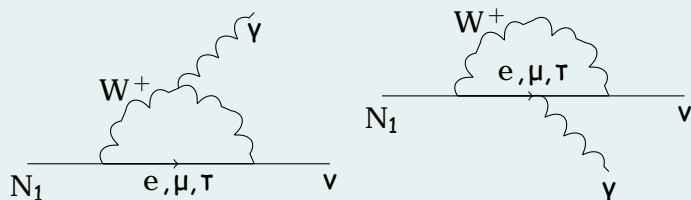
$$\tau = 5 \times 10^{23} \text{ sec} \left( \frac{M_1}{1 \text{ keV}} \right)^{-5} \left( \frac{\theta_1^2}{10^{-5}} \right)^{-1}$$

Age of the Universe  $\sim 10^{17}$  sec  
Not very constraining, in fact



# Radiative decay

Second decay channel:  $N \rightarrow \nu\gamma$



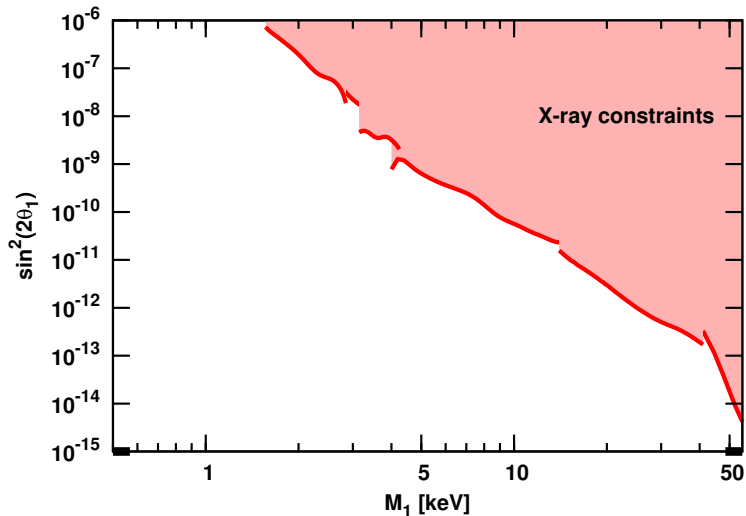
$$\Gamma \simeq 5.5 \times 10^{-27} \left( \frac{\theta_1^2}{10^{-5}} \right) \left( \frac{M_1}{1\text{keV}} \right)^5 \text{s}^{-1}$$

- Monochromatic:  $E_\gamma = M_1/2$
- We should see an X-ray ( $\sim \text{keV}$ ) line coming from everywhere in the sky





# Astrophysical constraints



## DM generation

Produced by mixing from the active neutrinos

$$\Gamma_N \sim \Gamma_\nu \theta_M^2(T)$$

where  $\Gamma_\nu \sim G_F^2 T^5$  is the rate of active neutrino production, and  $\theta_M(T)$  is a temperature (and momentum)-dependent mixing angle:

$$\theta_1^2 \rightarrow \theta_M^2(T) \simeq \frac{\theta_1^2}{\left(1 + \frac{2p}{M_1^2} (b(p, T) \pm c(T))\right)^2 + \theta_1^2}.$$

$$b(p, T) = \frac{16G_F^2}{\pi\alpha_W} p (2 + \cos^2 \theta_W) \frac{7\pi^2 T^4}{360}$$

$$c(T) = 3\sqrt{2}G_F (1 + \sin^2 \theta_W) (n_{\nu_e} - n_{\bar{\nu}_e})$$

Production: **Non-resonant** (b dominates) or **Resonant** ( $c \sim b$ )



# Other generation mechanisms

- The sterile neutrino does not enter thermal equilibrium during evolution
- Initial abundance is important
  - In the plain  $\nu$ MSM it is negligible [F.B., Gorbunov, Shaposhnikov 08](#)
  - May be produced with some other physics before thermal evolution - e.g. inflaton decay [Shaposhnikov, Tkachev 06](#); [Anisimov, Bartocci, F.B., 08](#)
- DM abundance may also be diluted by entropy production from the out-of-equilibrium decay of some particle (heavier sterile neutrino) happening after DM production



# Bounds from observed structure in the Universe

- Look at the compact object with DM (dwarf spheroidals)
  - Check that sterile neutrinos can "fit" there - Pauli blocking

$$M_{\text{DEG}} > 0.5\text{keV}$$

- Stricter bound - phase space density arguments

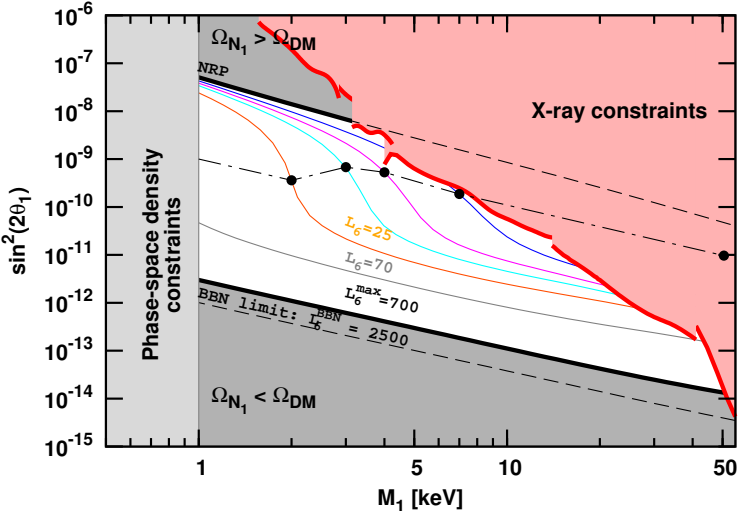
$$M > 1 - 2\text{keV}$$

Tremaine, Gunn 79; Gorbunov, Khmel'nitsky, Rubakov 08; Boyarsky, Ruchayskiy, Takubovskiy 08

- Light sterile neutrino being relativistic after decoupling provides cut off in the structure formation at smaller (sub-Mpc) scales.
- Presence of this cut off can be searched by the analysis of the Lyman- $\alpha$  absorption line of the intergalactic hydrogen.
  - For Non Resonant production -  $m > 8\text{keV}$ .
  - For Resonant production -  $m > 2\text{keV}$  (dependent on model lepton asymmetry)



# Astrophysical constraints



# Baryon Asymmetry

**Baryogenesis via Leptogenesis** (using heavier sterile  $N_2$  and  $N_3$ )

- Generation of lepton asymmetry in active neutrino sector via  $CP$ -violating neutrino oscillations
- Conversion of lepton asymmetry to baryon asymmetry by sphaleron transformations, conserving  $B + L$

$$\frac{n_B}{s} = 2 \times 10^{-10} \delta_{CP} \left( \frac{10^{-6}}{\Delta M_{32}^2 / M_3^2} \right)^{\frac{2}{3}} \left( \frac{M_3}{10 \text{ GeV}} \right)^{\frac{5}{3}}$$

and  $M_{2,3} \sim 10 \text{ GeV}$ .  $\delta_{CP}$  describes  $CP$  in sterile sector. In Universe:  $\frac{n_B}{s} \simeq (8.8 \div 9.8) \times 10^{-11}$

Should not thermalize before sphaleron processes stop

$$\Theta_{2,3} < 2\kappa \times 10^{-8} \left( \frac{\text{GeV}}{M_{2,3}} \right)^2$$

( $\kappa \simeq 1(2)$  for normal(inverted) hierarchy)

# Big Bang Nucleosynthesis

- No additional degrees of freedom decaying during nucleosynthesis are allowed
- This roughly limits the heavier sterile neutrino  $N_{2,3}$  lifetime

BBN bound

$$\tau_{1,2} \lesssim 0.1 \text{ sec}$$

A. Dolgov, S. Hansen, G. Raffelt, D. Semikoz, 2000



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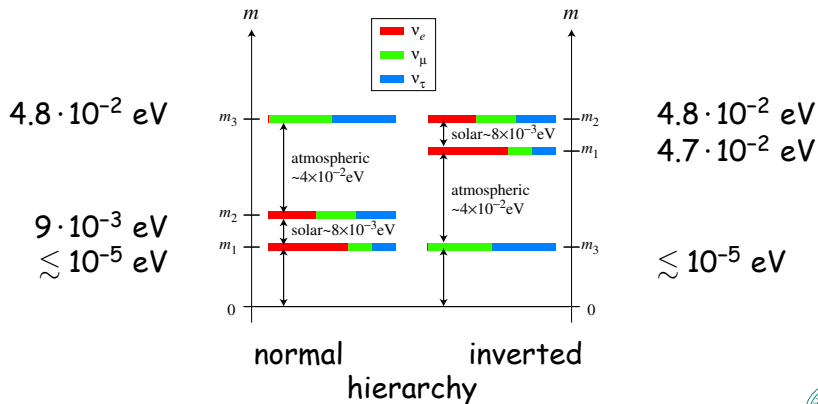




# Active neutrino masses - prediction!

The mass of the lightest active neutrino:

$$m_{\text{lightest}} \lesssim 10^{-5} \text{ eV}$$

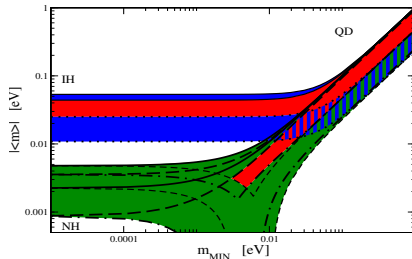


No neutrino mass seen by KATRIN!



# $\nu\beta\beta$ effective Majorana mass (GERDA!)

$$m_{ee} = \left| \sum_i m_i V_{ei}^2 \right|$$

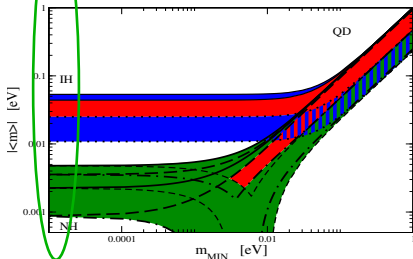


- contribution from  $N_1$  is negligible  $|M_1 \theta_{e1}^2| \leq 10^{-5} \text{ eV}$
- For  $N_1$  coupled with heavier active neutrinos its contribution is always negative  
 $m_{ee} < \left| \sum_i m_i V_{ei}^2 \right|$  smaller prediction

$$m_{ee} < 50 \times 10^{-3} \text{ eV}$$

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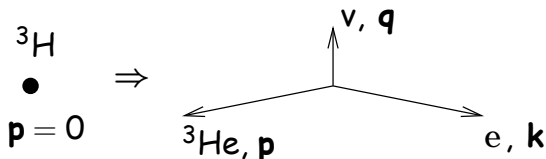
$$m_{ee} < 50 \times 10^{-3} \text{ eV}$$

# Other laboratory searches

- Search for keV sterile neutrino by full kinematic reconstruction of  $\beta$  decay of  $^3\text{H}$  F.B., Shaposhnikov 07
- Search for heavier sterile neutrinos of  $\nu\text{MSM}$  in dedicated experiments with high intensity proton beams or in K, D decays Gorbunov, Shaposhnikov, 07
- Nothing but the Higgs boson with the mass  $128 \text{ GeV} < M_{\text{H}} < 180 \text{ GeV}$  found on LHC F.B., Magnin, Shaposhnikov, 08;  
De Simone, Hertzberg, Wilczek 08



# $N_1$ search: beta decay kinematics



Neutrino mass is reconstructed from observed momenta

$$m_\nu^2 = (Q - E_p^{\text{kin}} - E_e^{\text{kin}})^2 - (\mathbf{p} + \mathbf{k})^2$$

For  ${}^3\text{H}$ :  $Q = 18.591\text{keV}$

- Typical ion energy  $E_p^{\text{kin}} \sim 1\text{ eV}$  or  $|\mathbf{p}| \sim 100\text{keV} \Rightarrow$  speed  $v \sim 10^4\text{m/s}$
- Typical electron energy  $E_e^{\text{kin}} \sim 10\text{keV}$

Time of flight measurement of ion momenta! (COLTRIMS)

F.B., M. Shaposhnikov, 2007



# Heavier sterile neutrino $N_{2,3}$ properties

- $M_{2,3} > m_\pi$  (likely)
- Mixings
  - Lower bound—should decay before BBN  $\tau \lesssim 0.1 \text{ sec}$
  - Upper bound—should not thermalise before generation of BAU  $\Theta_{2,3} < 2\kappa \times 10^{-8} \left( \frac{\text{GeV}}{M_{2,3}} \right)^2$

- Decay modes

$$N \rightarrow \mu e \nu, \pi^0 \nu, \pi e, \mu^+ \mu^- \nu, \pi \mu, K e, K \mu, \eta \nu, \rho \nu, \dots$$

- Production

$$K^\pm \rightarrow l_\alpha^\pm N_I, K_L \rightarrow \pi^\mp l_\alpha^\pm N_I,$$

$$D_s \rightarrow \eta^{(\prime)} l_\alpha N_I, D \rightarrow K l_\alpha N_I, D_s \rightarrow \phi l_\alpha N_I, D \rightarrow K^* l_\alpha N_I$$

## N<sub>2,3</sub>: Processes to look for

- Neutrino production hadron decays: kinematics
  - Missing energy in K decays
  - Peaks in momentum of charged leptons for two body decays
- Neutrino decays into SM particles: "nothing" to leptons and hadrons
  - Beam target experiments with high intensity proton beam, detector (preferably not dense) after the shielding.

D. Gorbunov, M. Shaposhnikov, 2007



# Conclusions

- $\nu$ MSM - Standard Model with three right handed neutrinos
  - sterile neutrino with the mass 1-50 keV - Dark Matter
  - two sterile neutrinos with masses  $\sim$  GeV - Baryon asymmetry
  - see-saw mechanism - neutrino oscillations
- It is not always needed to invent complicated new physics to explain Nature!

